RECEIP



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 98-027

Application of

Verne C. Hornback et al.

Serial No.: 09/217,183

Filed: December 21, 1998

For: ON-CHIP GRADED INDEX OF REFRACTION OPTICAL WAVEGUIDE AND

DAMASCENE METHOD OF FABRICATING THE SAME

Application Processing Division's Customer Correction Branch

Assistant Commissioner for Patents

Washington, D.C. 20231

RECEIVED

TECHNOLOGY CENTER 2800

### CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Application Processing Division's Customer Correction Branch, Assistant Commissioner for Patents, Washington, DC 20231.

APR 5 1999

Date

Kathy L. Hungsberg

#### FILING RECEIPT CORRECTION

Sir:

Please correct the following on the filing receipt for the above-application:

The correct applicants name should be "Verne C. Hornback, Colorado Springs, CO; Derryl D.J. Allman, Colorado Springs, CO"

A copy of the corrected filing receipt is enclosed.

BEST AVAILABLE COP

Dated this 5 day of April, 1999.

Respectfully submitted,

Wayne P. Bailey

Attorney for Applicants
Reg. No. 34,289

(970) 206-5467

PTO-103X (Rev. 8-95)





UNITED STATES DE. ARTMENT OF COMMERCE Patent and Trad mark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING DAT	E GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/217,183 12/21/9	8 2874	\$868.00	98-027	4	26	3

JOHN R LEY 5299 DTC BOULEVARD SUITE 610 ENGLEWOOD CO 80111-3327

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

VERNE C. HORNBECK, COLORADO SPRINGS, CO; DERRYL D. ALLMAN, COLORADO SPRINGS, CO.

FOREIGN FILING LICENSE GRANTED 01/23/99
TITLE
ON-CHIP GRADED INDEX OF REFRACTION OPTICAL WAVEGUIDE AND DAMASCENE
METHOD OF FABRICATING THE SAME

PRELIMINARY CLASS: 385

RECEIVED

APR 1 2 1999

TECHNOLOGY CENTER 2800

BEST OF LABLE COM

RECEIVED
JAN 28 1999
JOHN R. LEY, LLC

DATA ENTRY BY: FARMER, CHANELL

TEAM: 03 DATE: 01/23/99

Icaa ravareal

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the fiing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BEST N